

## Transmittal Form w/ Declaration

<b>UTILITY PATENT APPLICATION TRANSMITTAL</b>  (Only for new nonprovisional applications under 37 CFR 1.53(b))	Attorney Docket No.	<b>SNY-040</b>	Total Pages	
	First named Inventor or Application Identifier		Atsushi FUKUI	
	Title of Invention	NEGATIVE ELECTRODE FOR LITHIUM SECONDARY BATTERY, METHOD FOR PREPARING NEGATIVE ELECTRODE FOR LITHIUM SECONDARY BATTERY AND LITHIUM SECONDARY BATTERY		
<b>APPLICATION ELEMENTS</b> See MPEP chapter 600 concerning utility patent application contents.		ADDRESS TO: Commissioner for Patents Box Patent Application Alexandria, VA 22313-1450		
1. <input checked="" type="checkbox"/> Fee Transmittal Form 2. <input checked="" type="checkbox"/> Specification [Total Pages <b>36</b> ] - Descriptive title of the Invention - Cross References to Related Applications - Statement Regarding Fed sponsored R & D - Reference to Microfiche Appendix - Background of the Invention - Brief Summary of the Invention - Brief Description of the Drawings (if filed) - Detailed Description - Claim(s) - Abstract of the Disclosure 3. <input checked="" type="checkbox"/> Formal Drawing(s) (35 USC 113) [Total Sheets <b>2</b> ] 4. <input checked="" type="checkbox"/> Oath or Declaration [Total pages <b>3</b> ] a. <input checked="" type="checkbox"/> Newly executed (original or copy) b. <input type="checkbox"/> Copy from a prior application (37 CFR 1.63(d)) (for continuation/divisional with Box 17 completed) [Note Box 5 below] i. <input type="checkbox"/> <b>DELETION OF INVENTOR(S)</b> Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b). 5. <input type="checkbox"/> Incorporation By Reference (useable if Box 4b is checked)		6. <input type="checkbox"/> Microfiche Computer Program (Appendix) 7. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary) a. <input type="checkbox"/> Computer Readable Copy b. <input type="checkbox"/> Paper Copy (identical to computer copy) c. <input type="checkbox"/> Statement verifying identity of above copies  <b>ACCOMPANYING APPLICATION PARTS</b> 8. <input checked="" type="checkbox"/> Assignment papers (cover sheet & document(s)) 9. <input type="checkbox"/> 37 CFR 3.73(b) Statement (When there is an assignee) <input type="checkbox"/> Power of Attorney 10. <input type="checkbox"/> English Translation Document (if applicable) 11. <input checked="" type="checkbox"/> Information Disclosure Statement (IDS) /PTO-1449 <input checked="" type="checkbox"/> Copies of IDS Citations (6 docs) 12. <input type="checkbox"/> Preliminary Amendment [with Version with Markings to Show Changes Made] 13. <input checked="" type="checkbox"/> Return Receipt Postcard (MPEP 503) 14. <input type="checkbox"/> Assertion to Entitlement to Small Entity Status <input type="checkbox"/> Assertion filed in prior application, status still proper and desired 15. <input checked="" type="checkbox"/> Certified Copy of Priority Document(s) <input checked="" type="checkbox"/> Priority of application No. 2002-285742 filed on September 30, 2002, in Japan is claimed under 35 USC 119. <input type="checkbox"/> The certified copy has been filed in prior application Serial No. 08/*. 16. <input type="checkbox"/> Other: *  <b>OTHER</b> 17. <input type="checkbox"/> Priority of * Patent Application No. * filed * is claimed under 35 USC 119.		
18. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information: <input type="checkbox"/> Continuation <input type="checkbox"/> Divisional <input type="checkbox"/> Continuation-in part (CIP)				
<b>19. CORRESPONDENCE ADDRESS</b>				
<input checked="" type="checkbox"/> Customer Number or Bar Code Label <b>020374</b> or <input type="checkbox"/> Correspondence address below				
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 031088 U.S. PTO  
 10/673348


16519 U.S. PTO  
09/30/03

<b>FEE TRANSMITTAL</b>  Note: Effective January 1, 2003	Application Number	Not Yet Assigned
	Filing Date	September 30, 2003
	First Named Inventor	Atsushi FUKUI
	Group Art Unit	Not Assigned
	Examiner Name	Not Assigned
	Attorney Docket Number	SNY-040

CLAIMS AS FILED-PART 1			SMALL ENTITY		OTHER THAN SMALL ENTITY	
FOR	NUMBER FILED	NUMBER EXTRA	RATE	FEE	RATE	FEE
BASIC FEE (37 CFR 1.16 (a))				\$375.00		\$750.00
TOTAL CLAIMS (37 CFR 1.16 (c))	28 - 20 =	8	\$9.00		\$18.00	\$144.00
INDEPENDENT CLAIMS (37 CFR 1.16 (B))	2 - 3 =		\$42.00		\$84.00	\$0.00
MULTIPLE DEPENDENT CLAIM PRESENT (37 CFR 1.16 (d))			\$140.00		\$280.00	\$280.00
			SUB TOTAL		SUB TOTAL	\$1,174.00
SURCHARGE-LATE FILING FEE OR DECLARATION			\$65.00		\$130.00	
RECORDING ASSIGNMENT			\$40.00		\$40.00	\$40.00
TOTAL				\$0.00		\$1,214.00

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DEPOSIT ACCOUNT NAME	KUBOVCIK & KUBOVCIK		

SIGNATURE OF ATTORNEY, OR AGENT			
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KTK/cfm